



# Low-code GRC Solution

Audit, Risk, Compliance & Quality Management Software

# Complete GRC Management

Collaboration throughout your organization



## Internal Audit

*Administer all your internal audit activities in line with IIA instructions*

- ✓ Process Risk Assessments
- ✓ Process Audits
- ✓ Investigations
- ✓ Ethical Breach Reporting



## Risk & Controls

*Build up your company-wide risk inventory and control environment*

- ✓ Risk & Opportunity Management
- ✓ Risk Inventory & Heatmap
- ✓ Control Environment
- ✓ Internal Control Activities



## Quality Assurance

*Establish quality accreditations and execute specialized activities*

- ✓ Quality Assurance Audits
- ✓ Customer Complaints *ISO9001*
- ✓ Corrective Actions *ISO9001*
- ✓ Information Assets *ISO27001*
- ✓ Business Continuity *ISO22301*



## Compliance

*Ensure compliance with legislations and collect all data in a single system*

- ✓ Compliance Audits
- ✓ Responsibility Management
- ✓ Liability Management
- ✓ Personal Data Inventory *GDPR*
- ✓ Data Protection Compliance



# Complete Internal Systems

Collaboration throughout your organization, centralized common content



## Process Catalog

Compile business processes associated with documents and flowcharts



## Action Follow-up

Track the progress of action plans for findings, risk mitigation and other activities



## Document Editor

Create, manage, revise and publish all internal documentation



## Meeting Manager

Organize and execute meetings, create meeting minutes and assign action plans



## Standards & Regulations Catalog

Compile governing regulations and standards associated with documents and literature



## BPM Modelling

Model flow charts of processes with BPMN2 notation, create RACI and SIPOC matrices



## Document Manager

Digitalize & archive all printed documents, create automation scenarios



## e-Training & e-Survey

Create and distribute training material, tests and surveys. Evaluate results and issue certificates.

# Low-code Application Environment

## Flexible

Operate in a responsive manner in today's fast-moving, ever-changing regulatory environment that presents the challenge to comply with multitude of different legislation.



## Customizable

Adapt to the evolving changes of your growing organization and address the requirements of the entire user community.



## Collaboration

Bring together all departments, auditors and audited departments alike. Address the requirements of the entire user community.



## Integration

Establish integrations with any third-party system or software for two-way data stream and automation scenarios.



## Scalable

Scale up or across using our modules to respond easily to organizational shifts, competitive threats, industry innovations and regulatory changes.



## No installation

Access the same content and function using any kind of device, without any installation.

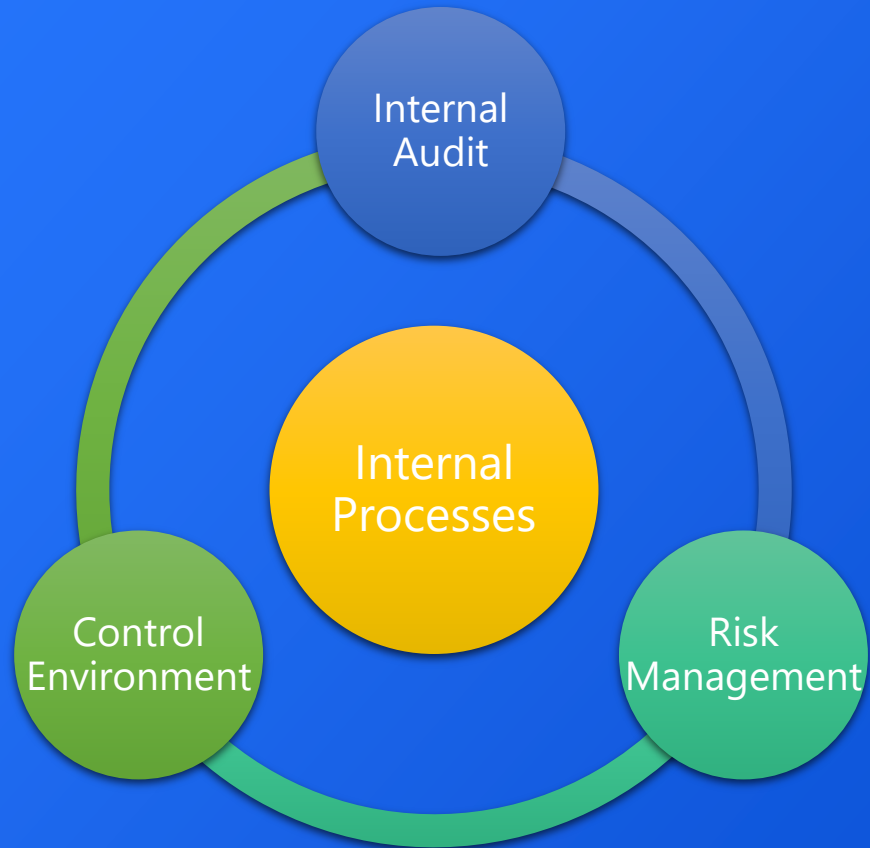


# Auditrunner Solution Approach

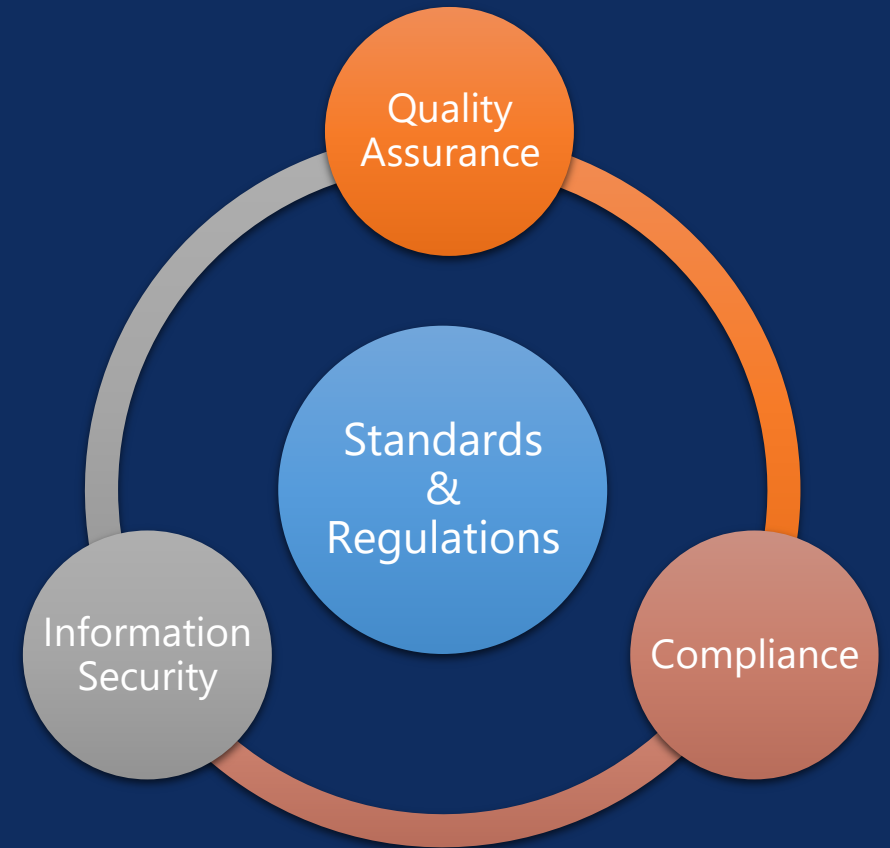


# Digitalizing Governance

Auditrunner's Two-Pillar Approach



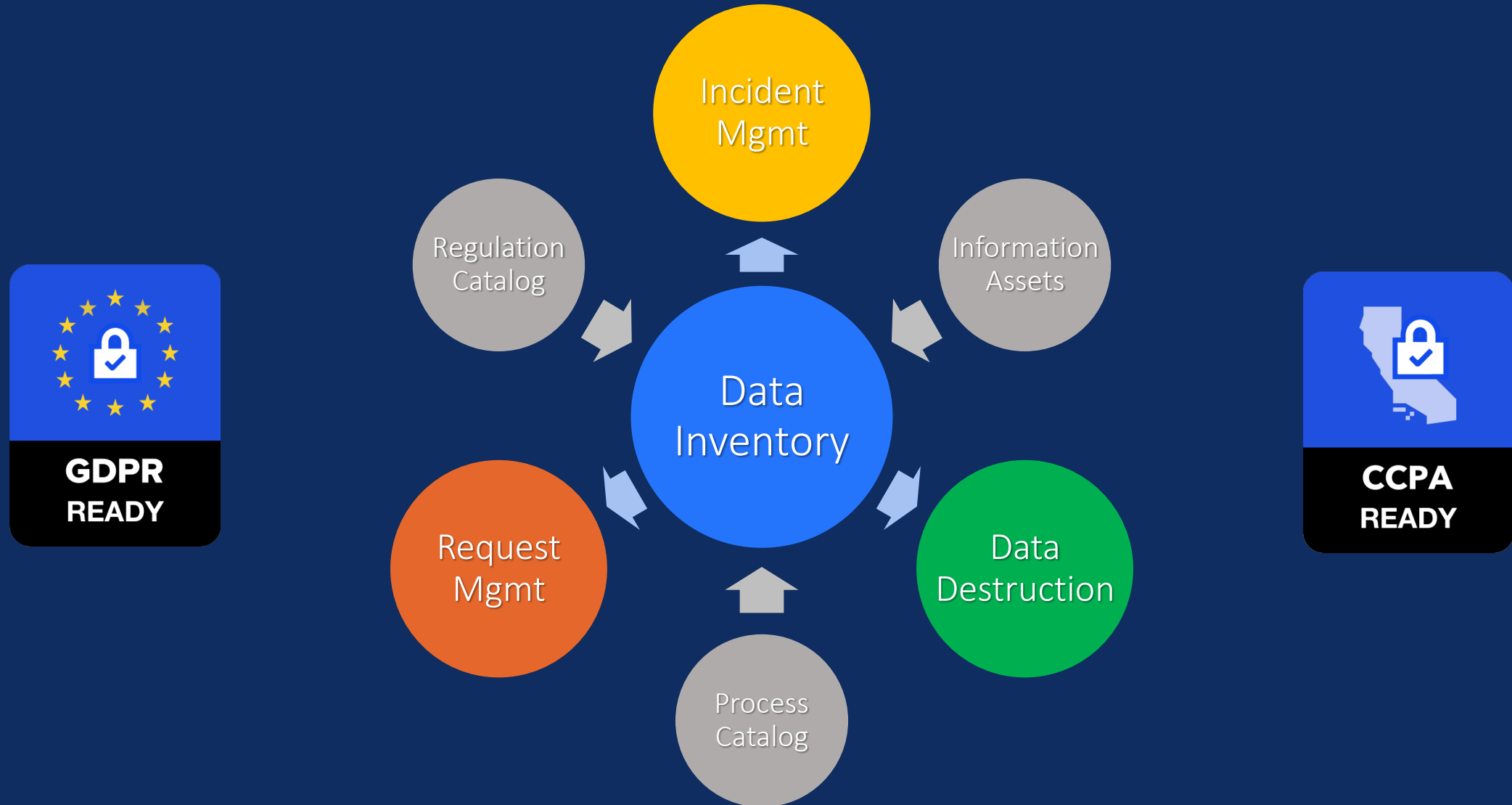
Process-based Governance



Criteria-based Governance

# Data Protection Compliance Suite

Establish compliance with data protection regulations



# AuditRunner in Action

You are here

Action Follow-up &  
Remediation

Task Name

Deadline

Module

#

Action Plan Follow-up | Internal Audit

Click to follow-up the Action Plan for findings from Internal Audit

11/29/2017

Action Tracking

1639

Action List

Department	Process	Deadline	Action Status
▼ Finance	Invoice Reporting	3/30/2018	Postponed
▼ IT	New User Provisioning	11/27/2017	Assigned
▼ Accounting	Invoice Entry, Return & Cancellation	5/23/2018	Open

Internal Audit Action Plan Follow-up

688

19 hours ago

Action Header

Number	Action Source	Department	Process
8	Internal Audit	Information Technologies	Information Technologies - Process Editing

Action Information

Action Source

Action Information

Action State	Action Date
Open	9/30/2019

Action Options

Selected Action

Complete

Remarks

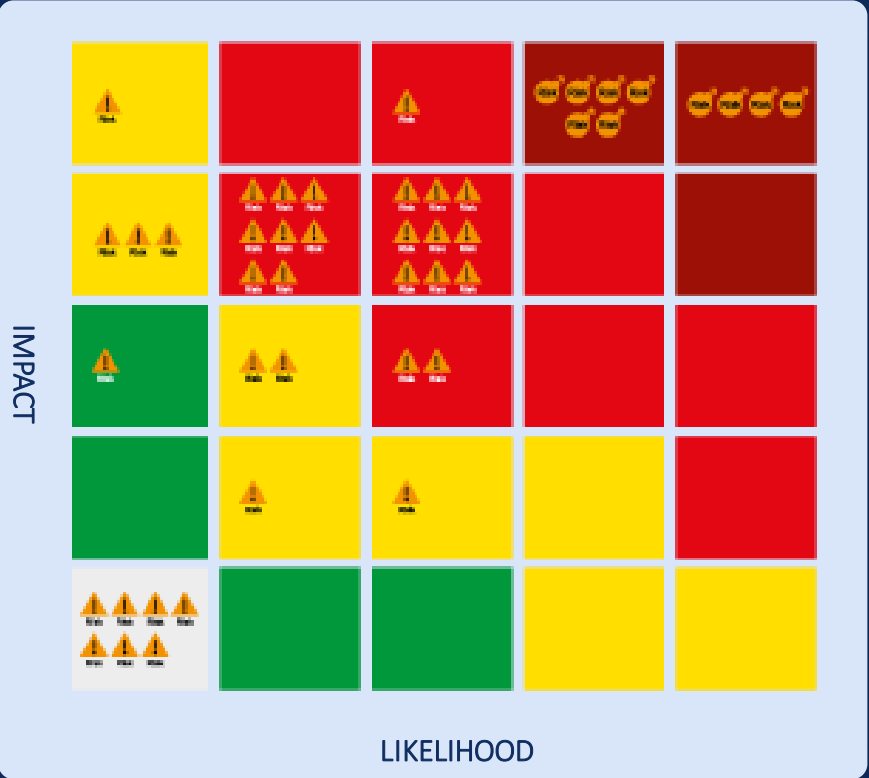
Action plan completed

Action plans

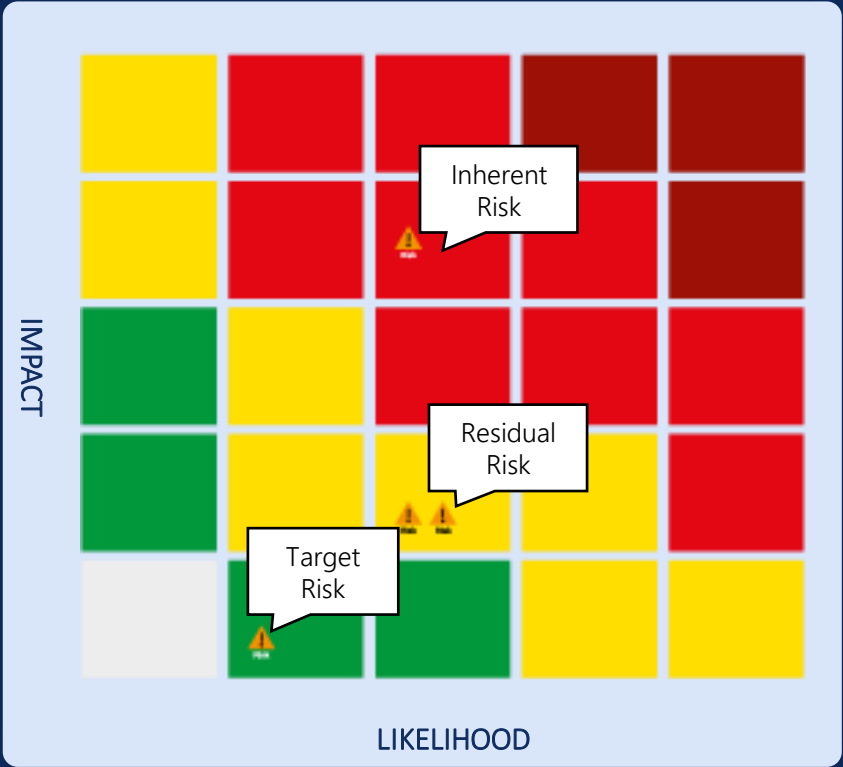
for every finding, risk mitigation, corrective & preventive action or other activity are assigned as “tasks” to business units. Automate action status tracking.



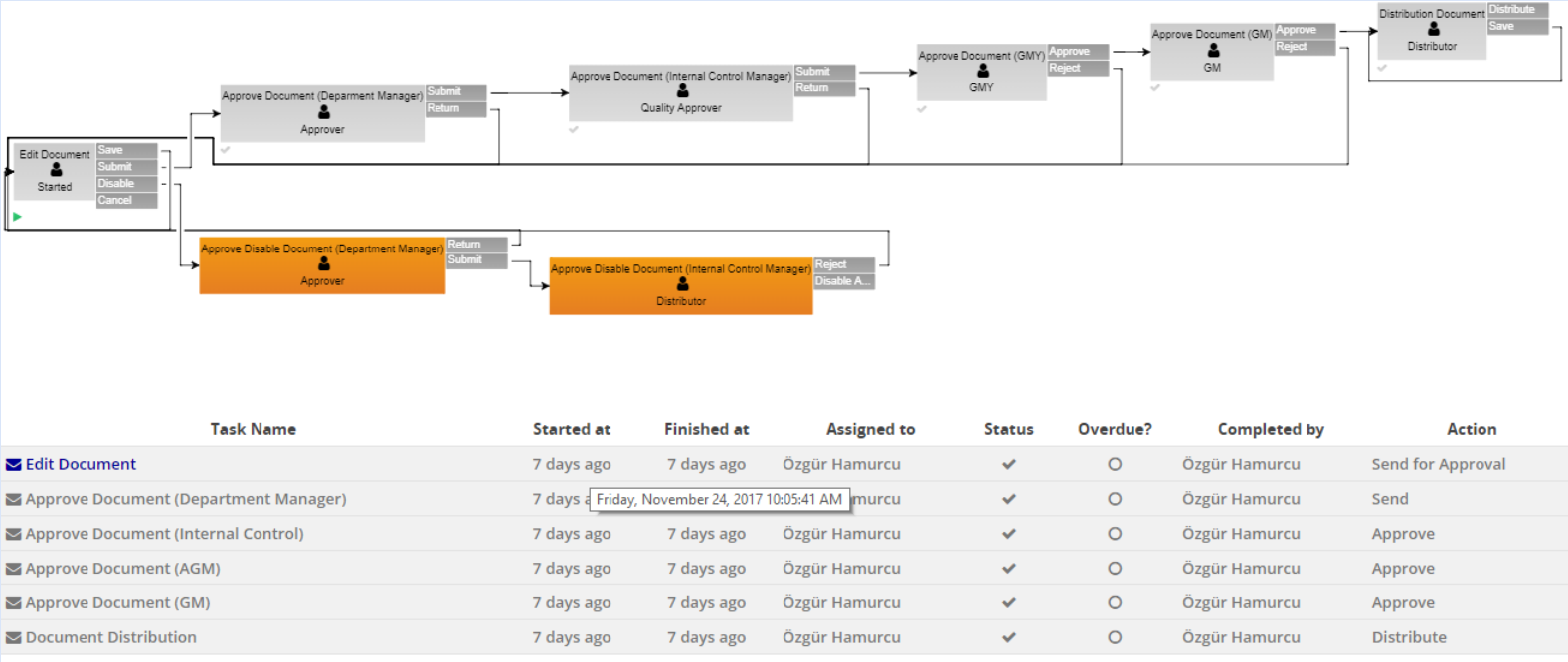
Risk Heat Map  
Company-wide



Risk Heat Map  
Process Detail



User Action Tracking



Who completed what and when, which action was taken, how much time was spent, is a task or an activity overdue?

Automatically  
created  
Activity Report

Automatically  
created  
Executive Summary

You are here



## Internal Audit Activity Report

Date: 21.12.2017  
Report No: 2017/53

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### IV-Findings:

#### Finding #1

##### Criteria:

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##### Observations:

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##### Impact:

Operational

##### Root Cause:

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##### Suggestion:

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##### Risk Level:

Low

This report is prepared in compliance with international internal audit standards.

2/3



## Internal Audit Executive Summary

Date: 21.12.2017  
Report No: 2017/53

### To:

David Horton - Board Member, Head of Audit Committee

### CC:

Hon. Michael Platt - Chairman, Board of Directors

### Sender:

Mac John Gorean - Internal Audit Director

### Subject:

New User Onboarding Process Internal Audit Report

### Executive Summary

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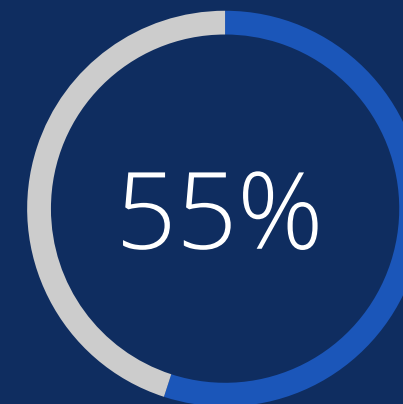
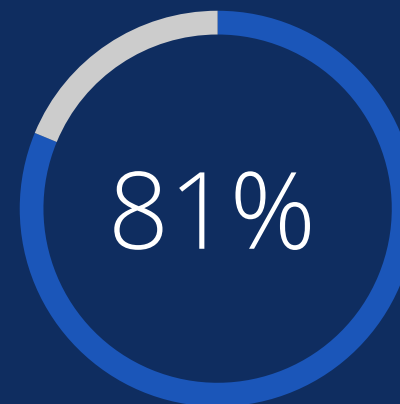
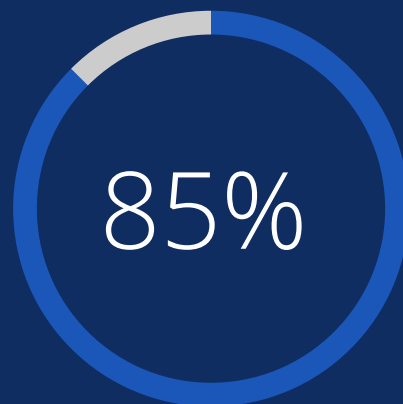
Sincerely yours,

Mac John Gorean  
Internal Audit Director



# Increased Efficiency

Save considerable time and money throughout your organization



## Capacity Increase

Number of executed annual activities increases steadily, without new auditors.

## Time Savings

Average time spent to prepare and finalize audit reports decreased from 2 weeks to 2 days.

## On-time Remediation

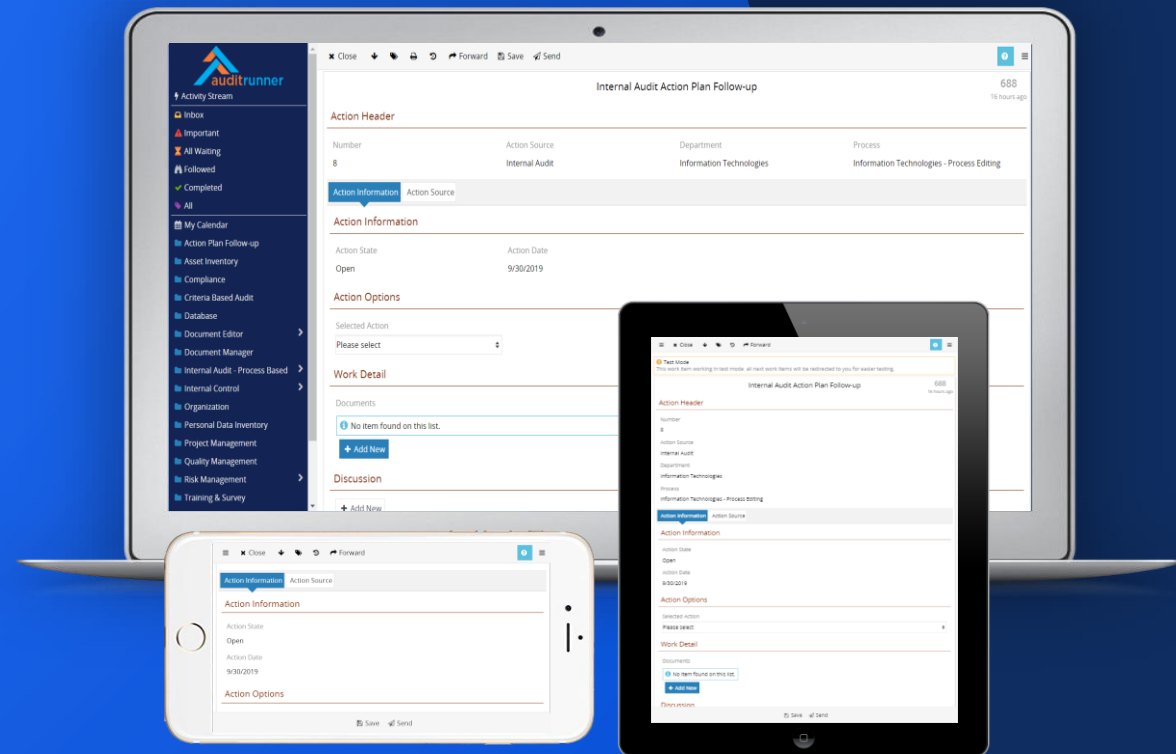
Preemptive notifications and escalation options has resulted 81% of all action plans to be completed on-time.

## Cost Savings

Expenses on resources such as external auditors, consultants and other resources are reduced more than half.

# Access with any device

- ✓ Web-based & responsive user interface
- ✓ No installation on end-user device
- ✓ No extra development for mobile view
- ✓ No extra licenses for mobile access
- ✓ Same content & same functionality



In reality, being an effective internal auditor ultimately hinges on one's ability to be an agent of change.

- Richard Chambers

Do you have the right tools to be  
**AGENTS OF CHANGE?**




**Thanks.**  
Questions?

# Get in touch

## Contact Information

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## Auditrunner

The Low-code Audit, Risk, Compliance & Quality  
Management Software

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